

CVM Pre-Award Information for Sponsored Funding; Grants, Cooperative Agreements, & Contracts

The CVM Grant Support Office within the Office of Research and Graduate Studies coordinates with CVM faculty and the OSU Office of Sponsored Programs (OSP) to help investigators and program directors submit, and in some cases, develop strategies to obtain external funding.

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Types of Sponsored Program Awards:

Legally, sponsored programs are liabilities of the university. They represent the consequence of a *bona fide* offer (your proposal) extended to and formally accepted by an outside entity (the sponsor). Sponsored program awards come in the form of grants, cooperative agreements, and contracts. All have legal terms that must be reviewed, and in some cases negotiated, then executed for OSU to accept the funds and enable you to conduct the work.

- **Grants** are a funding instrument through which the sponsor provides funds.
- **Cooperative Agreements** are similar to grants but may have substantive mutual interaction/collaboration between the sponsor and the award recipient.
- **Contracts** are the vehicle for procurement of sponsor-specified services with deliverables, and for our college typically span research, testing, and veterinary clinical trial services.

*Please Note: Philanthropic gifts (donations) and consulting agreements are **NOT** covered by the Grant Support Office.*

Types of Sponsors:

- Extramural
 - Nonprofit
 - Government (Federal, State, local)
 - Foundations
 - Other Nonprofits (universities; 501(c)(3) associations, societies, institutes, councils, centers, etc.)
 - For-profit
 - Business & Industry
- Intramural
 - CVM internal funding opportunities (e.g., canine funds, equine funds, etc.)
 - Other OSU funding opportunities (e.g., Pelotonia, CTSI, IDI, etc.)

Type of sponsor is most relevant to our direct sponsor, and not the prime sponsor. For example, submitting a subaward to another university who is negotiating an industry funded project is classified as nonprofit. Also, the SBIR/STTR funding mechanisms and IDIQs are considered industry because the direct sponsor is the business even though the money originates from the federal government (prime sponsor). Another example considered as industry is a grant from a foundation that is managed and awarded by a for-profit entity. We will help guide you through these distinctions.

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OSU ERIK PROPOSAL SUBMISSION TIMELINE REQUIREMENTS
(enacted as of September 2025)

Proposal Items	Standard Proposals	Complex Proposals
PI notification in proposal intake system	2 weeks	3 months
Subaward documents	7 business days	4 weeks
Budget documents when cost share or voluntary reduction of indirects proposed*	6 business days	3 weeks
Final budget and administrative documents	4 business days	2 weeks
Research documents	2 business days	7 business days
ePA-005 routed for approvals*	3 business days	5 business days
Proposal complete/ready for SPO review	2 business days	3 business days

**If budget includes cost share or a voluntary reduction in indirect costs, budget documents and PA-005 must be submitted at least 6 business days in advance of proposal deadline to allow time for review.*

- Table lists proposal components required for Sponsored Program Officer (SPO) review. (Institutional review of full proposals is required regardless of sponsor requirements.)
- Timelines are based on lead times prior to the day the proposal is due to the sponsor.
- All items are due to SPO by **12:00 p.m. (noon)** on the designated days, and no submissions after 5:00 p.m. or on weekends, regardless of agency deadlines.
- These timeline requirements apply to all submissions to **external sponsors** whether formal or informal and even when no signature or submission from an authorized organizational representative is necessary.
- Letters of Intent or pre-proposals are **NOT** required to follow timeline requirements and submit an intake form. If institutional signature is needed, submit to SPO **at least 1 business day** prior to when needed.

Standard Proposals

- Proposals not meeting the Complex proposal criteria are considered Standard.
- *Over 99% of all submissions in CVM are considered standard.*

Complex Proposals

- Have an annual budget of \$1 million+ or a total budget of \$5 million+; and/or
- Involve five or more collaborating institutions, whether paid or unpaid, such as subawardees, community partners, companies, or other organizations with Ohio State as the lead applicant.
- *For example, center or program projects with multiple components such as NIH P01, U19, etc.*

Additional details available at: <https://research.osu.edu/proposal-submission-intake-process-and-deadlines-formalized>

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FEDERAL & NONPROFIT SPONSORS

(Jeff Workman.45 CVM; Kathy Renick.9 SPO)

STEP 1: Notify CVM Grant Support Office – timeline as soon as you know you want to apply (ASAP) and submit Proposal Intake Form <https://go.osu.edu/proposal-intake> (required at least **2 weeks prior** to sponsor’s deadline).

- Key information needed comes from “funding opportunity” or “call for proposals” (e.g., NOFO, FOA, RFA, RFP, PA, BAA, solicitation, invite, etc.) such as sponsor name, submission deadline, etc. Also, indicate subaward(s)?
- If it is a resubmission or renewal, please provide sponsor’s grant/project ID number.
- Indicate if it involves a veterinary clinical trial (BBVCTO).

STEP 2: Develop the pre-award budget – timeline at least **4 business days prior** to submission deadline.

- Jeff will help to develop a detailed budget using an internal spreadsheet template in which data can be easily transferred to a specific sponsor’s required template/format. The internal spreadsheet data is crucial for OSU internal processes, review, and management if funded.
- If there are subaward(s), Jeff or PD/PI will reach out to subrecipient(s) with their budget amounts and the list of items needed. The deadline for receiving subaward materials is at least **7 business days prior** meaning the subrecipients need to be notified to prepare their materials at least 3 weeks prior to the sponsor’s deadline.
- If there is a veterinary clinical trial proposed, start with BBVCTO. (If a human clinical trial is proposed we will help you interface with COM.) BBVCTO has an online service request form located at <https://vmc.vet.osu.edu/clinical-trials-office/investigator-support>
 - BBVCTO will support you to develop the clinical trial budget, and Jeff will verify personnel salary effort and the F&A, and if necessary, incorporate the CTO budget into an overall budget (e.g., federal budget format for NIH R01, foundations/nonprofits who require a specific budget template, etc.).

STEP 3: Submission of Authorization to Seek Off-Campus Funding (PA-005) – timeline at least **3 business days prior** to submission. Works well to do this at least 4 days prior and formally submit budget materials to SPO in the same step.

- Work with Jeff to determine allocations, routing orgs, compliance areas, etc.
- Jeff should route the PA-005 for approvals with internal budget spreadsheet attached (required) and should also attach summary or abstract, budget justification, and funding opportunity details.

STEP 4: PD/PI continues to work on and finalize grant writing, preparation of documents/materials, etc. Please note: this step begins much earlier in the process.

STEP 5: Actual submission process and timeline varies substantially by sponsor, sponsor type, grant or contract, prime or subaward, etc. Full proposal must be completed at least **2 business days prior** to sponsor’s deadline.

- Jeff will assist with review/consultation, coordinating with OSP, and assembling/uploading/submitting the proposal. The SPO provides institutional review and ultimately must “sign off” and authorize submission as an authorized organizational representative (AOR) even when an institutional signature is not required by the sponsor.
- Typical process for subaward submission: Jeff will assist with assembling materials. Once finalized, the SPO will send an email message on behalf of the PD/PI, which includes all requested documents and the signed letter of intent, to the lead institution who will subsequently submit their full proposal to the prime sponsor.

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BUSINESS AND INDUSTRY SPONSORS

(Includes: research, testing or clinical trial services, student support/scholarships, SBIR, STTR, IDIQ, and other industry led needs)

(Jean Schelhorn.1 & Jeff Workman.45 CVM; Michael Adkins.121 (SPO))

Most projects involving industrial sponsors consist of mutually agreeing upon a scope of work (SOW), bidding a quote, and negotiating a final contract. However, industrial sponsors may also offer funding opportunities, similar to nonprofits, in which there is a competitive request for proposals (RFP) with a firm submission deadline.

STEP 1: Notify Jean Schelhorn in CVM Grant Support Office – timeline **as soon as you have been contacted** and submit Proposal Intake Form <https://go.osu.edu/proposal-intake> (required at least **2 weeks prior** to expected project start date for contract negotiations that typically do not have hard deadlines or **2 weeks prior** to posted submission deadline for competitive RFPs [e.g., SBIR/STTR]). In some cases, a company may contact Jean directly and she will seek your interest in serving as a PD/PI.

- Provide Name of Company and contact information so Jean can connect with the company and communicate steps we will walk through and to also qualify the company (to assure they expect to provide funds).
- Provide background on discussions to date and any relevant documents.
- Provide draft scope of work if you have it or we can develop that as part of the work for which we receive compensation.
- Indicate if it involves a clinical trial (BBVCTO for animals).

STEP 2: Statement / Scope of Work. The sponsor may offer a SOW, or you may be asked to do this step. Either way the SOW must be in place and agreed to by both parties and a pre-award quote that aligns with the SOW must be prepared and approved by the sponsor.

- Always start with Jean/Jeff before discussing any financial details or quote with the sponsor.
 - Jeff will help you develop an itemized internal budget using an internal spreadsheet template for OSU internal purposes which will provide data for the initial quote/estimate. The quote provides the total cost with salary, fringe benefits, and F&A embedded, but those details are not provided to the potential sponsor. In some cases, we will offer a rough order of magnitude (ROM) quote early to see if we are in the ballpark of funding the sponsor can cover.
- If this is focused on a clinical trial, contact BBVCTO for budget and other guidance. BBVCTO has an online service request form located at <https://vmc.vet.osu.edu/clinical-trials-office/investigator-support>
 - BBVCTO will support you to develop the clinical trial budget, and Jeff will verify personnel salary effort and the F&A, and if necessary, incorporate the CTO budget into an overall budget (e.g., federal budget format for SBIR/STTR).
 - This will be factored into the quote which may have other elements included.
- Jean or the SPO will provide the quote to the sponsor and help with negotiations if needed regarding aligning quote with new scope of work, total amount, phasing if needed, IP terms, MTAs, CDAs, etc.

STEP 3: Submission of Authorization to Seek Off-Campus Funding (PA-005) – timeline at least **3 business days prior** to submission

- Work with Jeff to determine allocations, routing orgs, compliance areas, etc.

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- Jeff will route PA-005 for approvals with internal budget spreadsheet attached (required) and should also attach statement/scope of work or summary.

STEP 4: Development of Contract/Agreement – Small companies typically seek our agreement template for consideration once it looks like we can conduct the work they are seeking; larger companies may offer their agreement template as a starting point for development of a binding agreement or contract to cover the anticipated services.

- Industry sponsored research, testing services, and clinical trial agreements are negotiated and executed by our SPO, and Jean supports these efforts as they are rarely standard. Each company has their specific needs. Your role in this is to answer questions that may come up, get your required university approvals in place (e.g., IACUC, IRB, etc.), and finalize the SOW and any outstanding quote details.

Actual negotiation and execution process and timeline varies substantially by sponsor, sponsor size, etc. The award will have specific deliverables or milestones that you will be responsible to provide on budget and on time. Please Note: To accept the award at the OSU your IACUC need to be approved.

CVM INTRAMURAL FUNDS
(Ivana Grozdic.3 CVM)

The primary purpose of intramural funding is to support the work and collection of preliminary data which will contribute to the strength and competitiveness of future extramural proposals. Internal submissions and awards are **NOT** submitted or managed by OSP (Jeff is happy to help with budgets upon request).

The specific requirements and Funding Calendar (Deadlines) can be found at: <https://vet.osu.edu/research/grant-support/intramural-funding>

Type of Funding	Deadline for Proposals
C. Glen Barber Funds	May 1 st
Canine Funds	May 15 th and October 15 th
Equine Funds	March 15 th and September 15 th
Feline Funds*	May 1 st - *pending availability of funds*
Mark L. Morris Clinical Research Nutrition Fund	May 1 st
Paladin Funds	May 15 th and October 15 th
USDA NIFA Animal Health and Disease Research Program (AHDR) – capacity funds (formerly referred to as formula funds) <i>Extramural funding that is awarded using an intramural process.</i>	April 15 th - *pending notification from NIFA*

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SUBAWARDS

Subawards are also referred to as subcontracts or consortium agreements. The sponsor makes an award to a single prime recipient. The prime recipient must have a substantive role in the conduct of the planned work and is not merely a conduit of funds to another party or parties. The relationship between the prime recipient (pass-through entity) and any collaborating organizations (subrecipients) is considered a subaward relationship. Furthermore, the prime recipient (also referred to as prime applicant) is the one submitting the full proposal to the prime sponsor. Subrecipient(s) provide items to the prime recipient (direct sponsor) to incorporate into their full proposal.

When OSU is the prime recipient (pass-through entity):

We request the documents listed below from each subrecipient as part of the standard subaward package. **The deadline for receiving subaward materials should be at least 1-week prior to the sponsor's submission deadline.**

When OSU is the subrecipient:

The direct sponsor may provide their own standard subrecipient intent/commitment form, or we may use our OSU LOI form (or have our SPO write a letter of intent on letterhead). **Typically, the Grants & Contracts Specialist will help the PI compile these items and then send them to the SPO to review, sign, and submit to our direct sponsor.** The Grants & Contracts Specialist will also route the PA-005 for approvals.

Required Materials According to Prime Sponsor

The mandatory items for any subaward regardless of type of prime or direct sponsor include: 1) Statement/Letter of Intent/Commitment signed by an Authorized Official; 2) Budget (costs must be clearly described when there isn't a separate budget justification/narrative); and 3) Scope of Work. Depending on the prime sponsor, additional materials (in addition to the examples shown below) may be required or desired such as: letters of support, letters of collaboration, representations & certifications, or compliance documents (IACUC, IRB, etc.).

Materials Needed for Subaward (prime sponsor: NIH):

1. Signed Subrecipient LOI form (attached)
2. Biosketch with Supplement for all Key Personnel (SciENCv required)
3. Budget (R&R Budget Form)
4. Budget Justification
5. Statement of Work
6. Facilities & Other Resources
7. Equipment

Materials Needed for Subaward (prime sponsor: FDA):

1. Signed Subrecipient LOI form (attached)
2. Biosketch with Supplement for all Key Personnel (SciENCv required)
3. Budget (R&R Budget Form)
4. Budget Justification
5. Statement of Work
6. Facilities & Other Resources
7. Equipment
8. Negotiated Indirect Cost Rate Agreement (NICRA)

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Materials Needed for Subaward (prime sponsor: NIFA):

1. Signed Subrecipient LOI form (attached)
2. Biosketch for all Key Personnel (SciENCv required)
3. Budget (R&R Budget Form)
4. Budget Justification
5. Statement of Work
6. Facilities & Other Resources
7. Equipment
8. Current & Pending Support for all Key Personnel (SciENCv required)
9. Conflict of Interest List for all Key Personnel (NIFA template)

Materials Needed for Subaward (prime sponsor: APHIS):

1. Signed Subrecipient LOI form (attached)
2. Budget (APHIS FINANCIAL PLAN spreadsheet template)
3. Statement of Work (to be incorporated into APHIS WORK PLAN template)
4. Negotiated Indirect Cost Rate Agreement (NICRA)

Materials Needed for Subaward (prime sponsor: DOW [formerly DOD]):

1. Signed Subrecipient LOI form (attached)
2. Biosketch for all Key Personnel (DOW suggested template available)
3. Budget (R&R Budget Form)
4. Budget Justification
5. Statement of Work (DOW suggested template available)
6. Previous/Current/Pending Support for all Key Personnel (DOW suggested template available)

Materials Needed for Subaward (prime sponsor: NSF):

1. Signed Subrecipient LOI form (attached)
2. Biosketch for all Key Personnel (SciENCv required)
3. Budget (R&R Budget Form)
4. Budget Justification
5. Statement of Work
6. Facilities, Equipment & Other Resources
7. Current & Pending Support for all Key Personnel (SciENCv required)
8. Collaborators and Other Affiliations for all Key Personnel (NSF COA spreadsheet required)
9. Synergistic Activities for all Key Personnel

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CONTACT INFORMATION

CVM Contacts:

- *CVM Office of Research & Graduate Studies - Grant Support Office*
Jeffrey D. Workman, PhD
Sr Grants & Contracts Specialist
127K VMAB, 1900 Coffey Road, Columbus OH 43210-1006
614-292-9453
workman.45@osu.edu

Jean E. Schelhorn, PhD
Director of Commercialization, Director of Industry Collaborations
614-292-2462
schelhorn.1@osu.edu
- *For questions regarding CVM intramural grants:*
Ivana Grozdic
127L VMAB, 1900 Coffey Road, Columbus OH 43210-1006
614-292-7637
grozdic.3@osu.edu
- *Blue Buffalo Veterinary Clinical Trials Office (BBVCTO)*
Baylie Schwamberger
Veterinary Medical Center, 601 Vernon L Tharp Street, Columbus OH 43210-4007
614-688-0849
CVM-ClinicalTrials@osu.edu

OSU Office of Sponsored Programs (OSP) Contacts: (1050 Carmack Road, Columbus OH 43210-1002)

- *Federal & Nonprofit Sponsors*
 - *Pre-Award:*
Michael Gallagher, CRA, Senior Sponsored Program Officer
Health Sciences Office
614-292-2054
gallagher.7@osu.edu
 - *Post-Award:*
Aimee Sanford, CRA, Senior Sponsored Program Officer
614-688-2773
sanford.136@osu.edu
- *Business & Industry Sponsors*
 - Michael Adkins, CRA, Sponsored Programs Associate Manager
Office for Business and Industry Contracts (OBIC)
614-292-5168
adkins.121@osu.edu

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